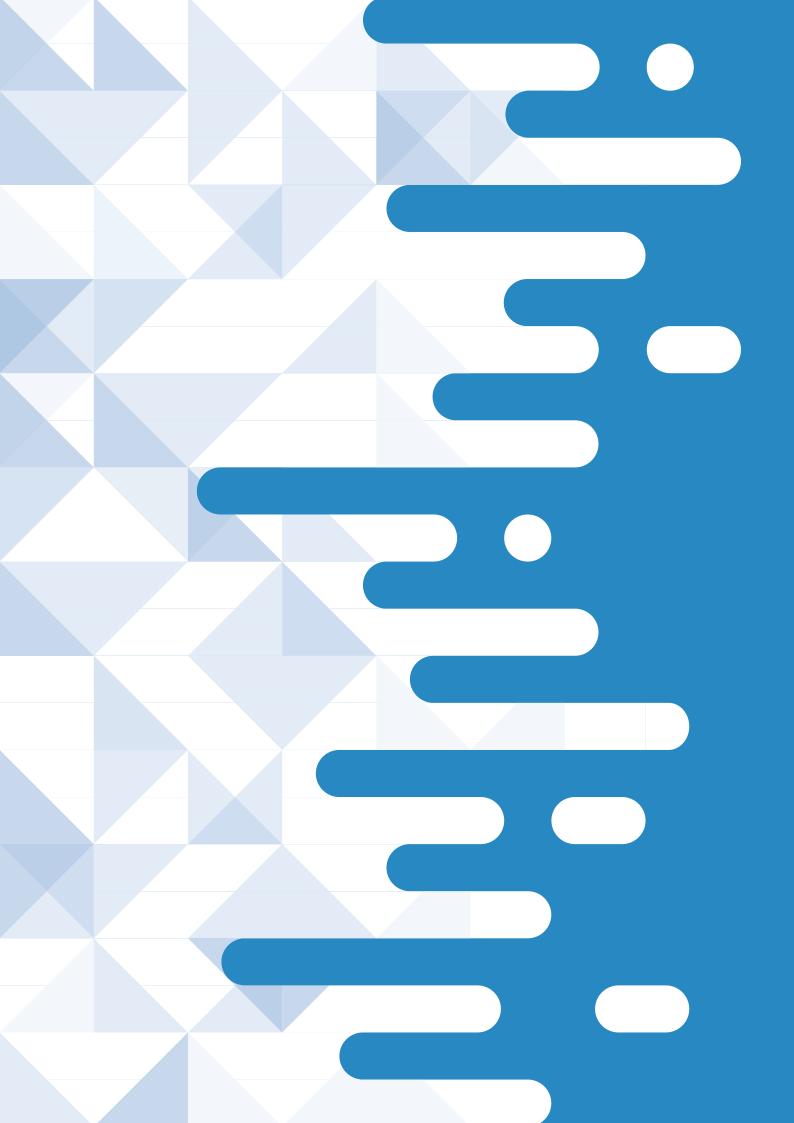
A tool to assist in the prioritisation of medicines in cancer care

Evidence-based standards for patient care

ESMO-MAGNITUDE OF CLINICAL BENEFIT SCALE





ESMO-MCBS factsheet

PROMOTING CLEAR AND EVIDENCE-BASED COMMUNICATION ABOUT THE BENEFIT OF CANCER TREATMENTS

In 2015 the European Society for Medical Oncology (ESMO) launched the **ESMO-Magnitude of Clinical Benefit Scale (ESMO-MCBS)**^{1,2} to facilitate improved decision-making regarding the value of anti-cancer therapies, promote the accessibility and reduce iniquity of access to high value cancer treatments. Since value is based on considerations of the magnitude of clinical benefit as well as cost, and given the challenges to understanding the actual magnitude of the clinical benefit, the ESMO-MCBS was developed as a validated and reproducible scale that is applicable across the full range of solid tumours in oncology.

It incorporates a structured, rational and valid approach to data interpretation and analysis that reduces the tendency to have judgements affected by bias or uninformed and/or idiosyncratic data interpretation that has been developed in accordance with the public policy standard of "accountability for reasonableness".

It is a dynamic tool and its criteria are revised on a regular basis. The ESMO-MCBS is an important first step to the critical public policy issue of value in cancer care, helping to frame the appropriate use of limited public and personal resources in the delivery of cancer care.

¹ Cherny NI, Sullivan R, Dafni U, et al. A standardised, generic, validated approach to stratify the magnitude of clinical benefit that can be anticipated from anti-cancer therapies: the European Society for medical oncology magnitude of clinical benefit scale (ESMO-MCBS). Ann Oncol2015;26:1547–73.

² Cherny NI, Dafni U, Bogaerts J, et al. ESMO-Magnitude of Clinical Benefit Scale version 1.1. Ann Oncol2017;28:2340-66.

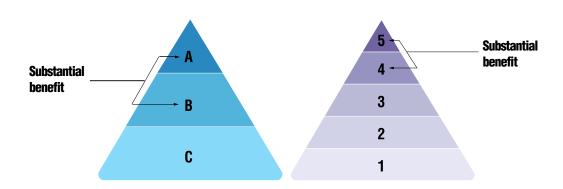
ESMO-MCBS: SCORING CRITERIA ACCORDING TO CLINICAL SETTINGS

The scale considers overall survival, progression-free survival, disease free survival, hazard ratio, response rate, quality of life, prognosis of the condition and toxicity. There are 5 evaluation forms.

01. Evaluation form 1: for new approaches to adjuvant therapy or new potentially curative therapies

- 02. Evaluation form 2a: for therapies that are not likely to be curative with primary endpoint of overall survival (OS) with separate sheets for:
 - IF median OS with the standard treatment is ≤12 months
 - IF median OS with the standard treatment is >12 months, ≤24 months
 - IF median OS with the standard treatment is >24 months
- 03. Evaluation form 2b: for therapies that are not likely to be curative with primary endpoint progres sion-free survival (PFS) with separate sheets for:
 - IF median PFS with standard treatment is ≤6 months
 - IF median PFS with standard treatment is >6 months
- 04. Evaluation form 2c: for therapies that are not likely to be curative with primary endpoint other than OS or PFS or equivalent (non-inferiority) studies.
- 05. Evaluation form 3: for single-arm studies in "orphan diseases" and for diseases with "high unmet need" when primary outcome is PFS or overall response rate (ORR).

The highest grades of the ESMO-MCBS in the curative setting are A and B and in the non-curative setting 5 and 4, which indicate a substantial magnitude of clinical benefit.



WHAT IS THE POTENTIAL USE AND ACCESSIBILITY OF THE ESMO-MCBS?

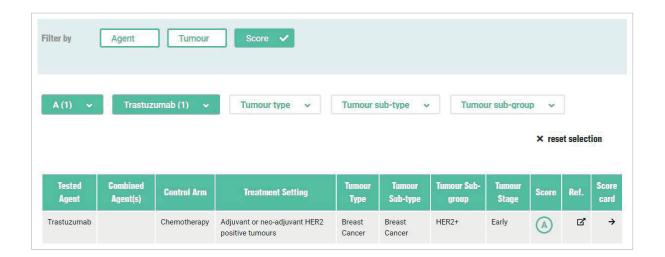
This structured and disciplined approach to deriving estimates of clinically meaningful benefit from published data can be used in arange of settings, including:

- **1. Public policy applications** Grading derived from the ESMO-MCBS provides a backbone for value evaluations of cancer medicines and can help public policy-makers in the advancement of 'accountability for reasonableness' in resource allocation deliberations.
- **2. Formulation of clinical guidelines** For cancer therapies, the ESMO-MCBS scale provides a clear, well-structured and validated mechanism to indicate the magnitude of clinical benefit, in addition to the level of evidence, that can inform both national and international guidelines.
- **3. Clinical decision making** The data enclosed in ESMO-MCBS scoring can help clinicians weigh the relative merits of competing relevant therapeutic options and may also be of benefit in explaining the relative merit of therapeutic options to patients and their families. This information may be especially helpful when treatments incorporate substantial out-of-pocket costs.
- **4. Editorial decisions and commentaries** The ESMO-MCBS may be of use to editors, peer reviewers and commentators in considering the clinical significance of research findings from randomised clinical studies, cohort studies and meta-analyses with statistically significant positive findings.
- **5. Education** The ESMO-MCBS is a powerful tool to teach a disciplined and validated approach to data interpretation. It is especially valuable for oncologists in training and for application in journal club discussion.

HOW IS THE ESMO-MCBS BEING USED?

It is incorporated in the ESMO Clinical Practice Guidelines and the Pan-Asian Adapted Guidelines, helping to provide patients with the best care options and setting the highest standards for cancer care.

- It has been acknowledged by the World Health Organisation as 'a screening tool to identify cancer treatments that have potential therapeutic value that warrants full evaluation for the Essential Medicines List listing'³.
- It is being used as part of Health Technology Assessment (HTA) processes in a growing number of countries.
- It has been presented inside and outside Europe and in educational workshops for stakeholders, patient (advocates), pharma representatives and HTA bodies to increase knowledge sharing of the tool.
- It being used in oncology training programmes and journal club presentations, modelling a structured approach to data interpretation in the evaluation of clinical benefit.
- ESMO offers support to third parties wanting to use the scale.
- ESMO has developed a searchable portal and online tools to facilitate the use of the ESMO-MCBS.



³ World Health Organisation. Executive Summary. The Selection and Use of Essential Medicines 2019. Report of the 22nd WHO Expert Committee on the Selection and Use of Essential Medicines, 1-5 April 2019.

ESMO-MCBS instructions and forms



INSTRUCTIONS

01. There are 5 forms

Evaluation form 1: for new approaches to adjuvant therapy or new potentially curative therapies.

Evaluation form 2a: for therapies that are not likely to be curative with primary endpoint of overall survival (OS) with separate sheets for:

- IF median OS with the standard treatment is ≤12 months
- IF median OS with the standard treatment is >12 months. ≤24 months
- IF median OS with the standard treatment is >24 months

Evaluation form 2b: for therapies that are not likely to be curative with primary endpoint progression-free survival (PFS) with separate sheets for:

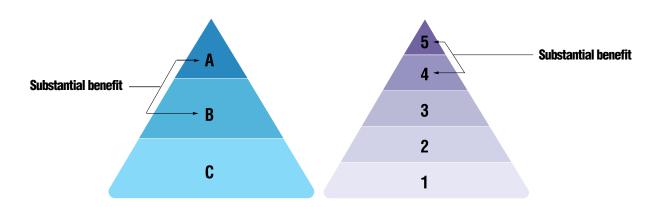
- IF median PFS with standard treatment is ≤6 months
- IF median PFS with standard treatment is >6 months

Evaluation form 2c: for therapies that are not likely to be curative with primary endpoint other than OS or PFS or equivalent (non-inferiority) studies.

Evaluation form 3: for single-arm studies in "orphan diseases" and for diseases with "high unmet need" when primary outcome is PFS or overall response rate (ORR).

02. **ESMO-MCBS** scores

The highest grades of the ESMO-MCBS in the curative setting are A and B and in the non-curative setting 5 and 4, which indicate a substantial magnitude of benefit.



03. Analysis of phase III trials

- Adequately powered studies showing statistically significant improvement in the primary outcome (defined by P<0.050).
- Careful analyses "control arm" and identification of endpoints.

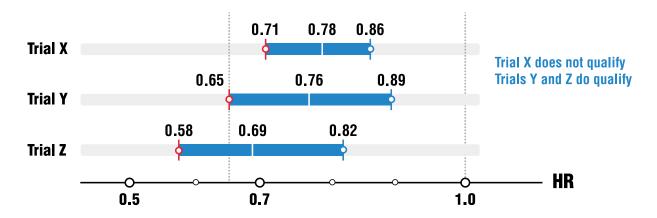
Check subgroup analysis

- **a.** Studies with pre-planned subgroup analyses with a maximum of 3 subgroups can be graded (provided there is adjustment for multiple comparisons).
- **b.** When statistically significant results are reported for any subgroup, then each of these should be graded separately.
- c. Subgroups not showing statistically significant results are not graded.
- **d.** Except for studies that incorporate collection of tissue samples to enable re-stratification based on new genetic or other biomarkers, findings from un-planned (post-hoc) subgroup analysis cannot be graded and they can only be used as foundation for hypothesis generation.

04. More than one outcome may be applicable

The statistical significance of secondary outcomes are determined by the same criteria as for primary outcomes i.e. defined by P<0.050.

O5. For a required hazard ratio (HR), not the point estimate but the lower limit of 95% confidence interval (CI) estimated based on the <u>observed</u> HR in the trial should encompass the required HR.



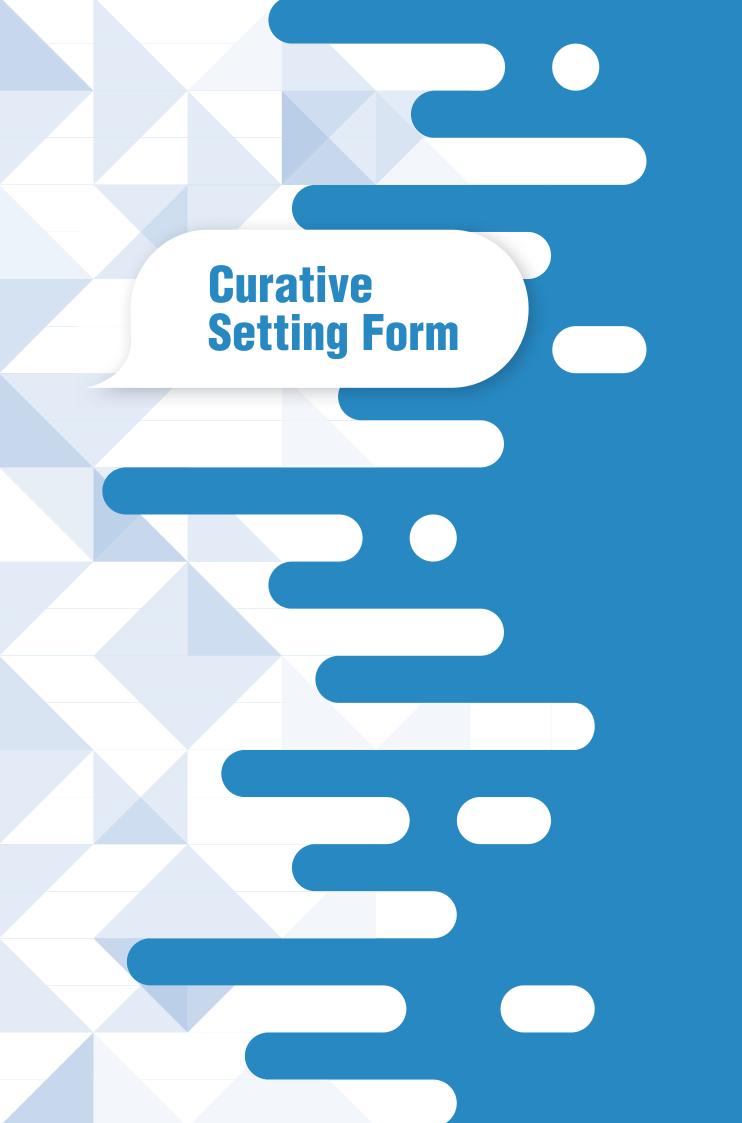
Example: for threshold set at HR <0.65 it is the lower limit of the 95%Cl which has to be ≤0.65

06. In the case of **OS** in the non-curative setting check for:

- Reduced toxicity
- Improvement in quality of life (QoL)
- Report final adjusted grade taking into account toxicity, and QoL when relevant.

07. In the case of PFS in the non-curative setting check for:

- Indicators of toxicity
- Survival data also available
- Early termination with crossover based on planned interim survival analysis
- Global QoL advantage using validated scale if applicable
- Report final adjusted grade taking into account toxicity, survival advantage and QoL when applicable.



EVALUATION FORM 1

For new approaches to adjuvat therapy or new potentially curative therapies

Name of study:							
Study medicine):		Indication:				
First author:			Year:		Journal:		
Name of evalua	ator:						
GRADE A	>5%	improvement of survival at ≥3 y	/ears follow-up				
	Improvements in DFS alone (primary endpoint) (HR $<$ 0.65) in studies without mature survival data						
GRADE B	≥3% <u>BUT</u> ≤5% improvement at ≥3 years follow-up						
	•	ovement in DFS alone (primary endpoint) (HR 0.65 - 0.8) without mature val data					
		nferior OS or DFS with reduced treatment toxicity or improved QoL (with ted scales)					
		Non inferior OS or DFS with reduced treatment cost as reported study outcome (with equivalent outcomes and risks)					
GRADE C	<3%	improvement of survival at ≥3 y	ears follow-up/				
		ovement in DFS alone (primary val data	endpoint) (HR	>0.8) in studie	s without m	ature	
Improvements in pCR alone (primary endpoint) by \geq 30% relative <u>AND</u> \geq 15% absolute gain in studies without mature survival data							
						Mark with	ı √ if relevant
Magnitude o	of cli	nical benefit grade (highe	st grade sco	red)	A	В	C

Curative setting grading - A and B indicates a substantial magnitude of clinical benefit.

 $DFS, disease-free \ survival; HR, hazard \ ratio; OS, overall \ survival; pCR, pathologic \ complete \ response/remission; QoL, quality \ of \ life.$

Non-Curative Setting Forms



EVALUATION FORM 2A

For therapies that are not likely to be curative with primary endpoint of OS

Name of stu	dy:					
Study medic	cine:	Indication:				
First author		Year:		Journal:		
Name of eva	aluator:					
If median O	S with the standard treatment is	≤ 12 months				
GRADE 4	HR ≤0.65 <u>AND</u> gain ≥3 months				(
	Increase in 2 year survival ≥10%				(
GRADE 3	HR ≤0.65 <u>AND</u> gain ≥2.0-<3 months				(
GRADE 2	HR ≤0.65 <u>AND</u> gain ≥1.5-<2.0				(
	HR >0.65-0.70 <u>AND</u> gain ≥1.5 month	ns			(
GRADE 1	HR >0.70 <u>OR</u> gain <1.5 months				(
					Mark with √ if re	levan
	nry magnitude of clinical benefit (grade scored)	grade	4	3	2 1	

Quality of life/Grade 3-4 toxicities* assessment

Does secondary endpoint QoL show improvement?	
Are there statistically significantly less grade 3-4 toxicities impacting on daily well-being?*	
*This does not include alopecia, myelosuppression, but rather chronic nausea, diarrhoea, fatigue, etc.	Mark with √ if relevant

Adjustments

- Upgrade 1 level if improved QoL and/or less grade 3-4 toxicities impacting daily well-being are shown
- If there is a long term plateau in the survival curve, and OS advantage continues to be observed at 5 years, <u>also score</u> according to form 1 (treatments with curative potential) and present both scores i.e. A/4.

Final adjusted magnitude	5	4	3	2	1
of clinical benefit grade					

EVALUATION FORM 2A

For therapies that are not likely to be curative with primary endpoint of OS

Name of stu	dy:				
Study medic	cine:	Indication:			
First author		Year:		Journal:	
Name of eva	aluator:				
If median O	S with the standard treatm	ent >12 months ≤24 ı	months		
GRADE 4	HR ≤0.70 <u>AND</u> gain ≥5 mont	hs			
	Increase in 3 year survival al	one ≥10%			
GRADE 3	HR ≤0.70 <u>AND</u> gain ≥3-<5 m	onths			
GRADE 2	HR ≤0.70 <u>AND</u> gain ≥1.5-<3	months			
	HR >0.70-0.75 <u>AND</u> gain ≥1.	5 months			
GRADE 1	HR > 0.75 <u>OR</u> gain <1.5 mor	nths			
					Mark with √ if relevan
	nry magnitude of clinical be	enefit grade	4	3	2 1
(highest q	grade scored)				

Non-curative setting grading - 5 and 4 (substantial benefit), 3 (moderate benefit), 2 and 1 (negligible benefit)

Quality of life/Grade 3-4 toxicities* assessment

Does secondary endpoint QoL show improvement?	
Are there statistically significantly less grade 3-4 toxicities impacting on daily well-being?*	
*This does not include alopecia, myelosuppression, but rather chronic nausea, diarrhoea, fatigue, etc.	Mark with √ if relevant

Adjustments

- Upgrade 1 level if improved QoL and/or less grade 3-4 toxicities impacting daily well-being are shown
- If there is a long term plateau in the survival curve, and OS advantage continues to be observed at 5 years, <u>also score</u> according to form 1 (treatments with curative potential) and present both scores i.e. A/4.

Final adjusted magnitude	5	4	3	2	1
of clinical benefit grade					

EVALUATION FORM 2A

For therapies that are not likely to be curative with primary endpoint of OS

Name of stu	udy:			
Study medi	cine:	Indication:		
First author	r:	Year:	Journal:	
Name of ev	aluator:			
If median 0	OS with the standard treatment >2	24 months		
GRADE 4	HR ≤0.70 <u>AND</u> gain ≥9 months			
	Increase in 5 year survival alone ≥1	0%		
GRADE 3	HR ≤0.70 <u>AND</u> gain ≥6-<9 months			
GRADE 2	HR ≤0.70 <u>AND</u> gain ≥4-<6 months			
	HR >0.70-0.75 <u>AND</u> gain ≥4 months	s		
GRADE 1	HR >0.75 <u>OR</u> gain <4 months			
				Mark with √ if relevan
	ary magnitude of clinical benefit grade scored)	grade	4 3	2 1
		grade	4 3	2

 $Non-curative\ setting\ grading\ \hbox{--}\ 5\ and\ 4\ indicates\ a\ substantial\ magnitude\ of\ clinical\ benefit$

Quality of life/Grade 3-4 toxicities* assessment

Does secondary endpoint QoL show improvement?	
Are there statistically significantly less grade 3-4 toxicities impacting on daily well-being?*	
*This does not include alongoia myglosungrossion, but rather chronic nausea diarrhoga fatigue, etc.	Mark with J if relevant

Adjustments

- Upgrade 1 level if improved QoL and/or less grade 3-4 toxicities impacting daily well-being are shown
- If there is a long term plateau in the survival curve, and OS advantage continues to be observed at 7 years, <u>also score</u> according to form 1 (treatments with curative potential) and present both scores i.e. A/4.



EVALUATION FORM 2B

For therapies that are not likely to be curative with primary endpoint of PFS

Name of stu	dy:			
Study media	sine:	Indication:		
First author	:	Year:	Journal:	
Name of eva	aluator:			
If median P	FS with standard trea	atment ≤6 months		
GRADE 3	HR ≤0.65 <u>AND</u> gain ≥	1.5 months		
GRADE 2	HR ≤0.65 <u>BUT</u> gain <	1.5 months		
GRADE 1	HR >0.65			
				Mark with √ if relevant
	ry magnitude of clin grade scored)	ical benefit grade	3	2 1

Early stopping or crossover

Did the study have an early stopping rule based on interim analysis of survival?	
Was the randomization terminated early based on the detection of overall survival advantage at interim analysis?	
If the answer to both is "yes", then see letter "E" in the adjustment section below	Mark with √ if relevant
Toxicity assessment	
Is the new treatment associated with a statistically significant incremental rate of:	
«Toxic» death >2%	
Cardiovascular ischemia >2%	
Hospitalisation for «toxicity» >10%	
Excess rate of severe CHF >4%	
Grade 3 neurotoxicity >10%	
Severe other irreversible or long lasting toxicity >2% please specify:	
(Incremental rate refers to the comparison versus standard therapy in the control arm)	Mark with √ if relevant
Quality of life/Grade 3-4 toxicities* assessment	
Was QoL evaluated as secondary outcome?	
Does secondary endpoint QoL show improvement?	
Are there statistically significantly less grade 3-4 toxicities impacting on daily well-being?*	
*This does not include alopecia, myelosuppression, but rather chronic nausea, diarrhoea, fatigue, etc.	Mark with √ if relevant

CHF, congestive heart failure; QoL, quality of Life

EVALUATION FORM 2B

For therapies that are not likely to be curative with primary endpoint of PFS

Adjustments

- A When OS as secondary endpoint shows improvement, it will prevail and the new scoring will be done according to form 2a.
- B Downgrade 1 level if there is one or more of the above incremental toxicities associated with the new medicine.
- Downgrade 1 level if the medicine ONLY leads to improved PFS (mature data shows no OS advantage) and QoL assessment does not demonstrate improved QoL.
- Upgrade 1 level if improved QoL or if less grade 3-4 toxicities that bother patients are demonstrated.
- Upgrade 1 level if study had early crossover because of early stopping or crossover based on detection of survival advantage at interim analysis.
- F Upgrade 1 level if there is a long-term plateau in the PFS curve, and there is >10% improvement in PFS at 1 year.



Highest magnitude clinic benefit grade that can be achieved grade 4.

EVALUATION FORM 2B

For therapies that are not likely to be curative with primary endpoint PFS

Name of stud	dy:			
Study medic	ine:	Indication:		
First author:	:	Year:	Journal:	
Name of eva	lluator:			
If median Pl	FS with standard treatment >6	months		
GRADE 3	HR ≤0.65 <u>AND</u> gain ≥3 months			
GRADE 2	HR ≤0.65 <u>BUT</u> gain <3 months			
GRADE 1	HR >0.65			
				Mark with √ if relevan
	ry magnitude of clinical benefi grade scored)	t grade	3	2 1

Early stopping or crossover

Did the study have an early stopping rule based on interim analysis of survival?		
Was the randomization terminated early based on the detection of overall survival advantage at interim analysis?		
If the answer to both is "yes", then see letter "E" in the adjustment section below	Mark with √ if relevant	
Toxicity assessment		
Is the new treatment associated with a statistically significant incremental rate of:		
«Toxic» death >2%		
Cardiovascular ischemia >2%		
Hospitalisation for «toxicity» >10%		
Excess rate of severe CHF >4%		
Grade 3 neurotoxicity >10%		
Severe other irreversible or long lasting toxicity >2% please specify:		
(Incremental rate refers to the comparison versus standard therapy in the control arm)	Mark with √ if relevant	
Quality of life/Grade 3-4 toxicities* assessment		
Was QoL eveluated as secondary outcome?		
Does secondary endpoint QoL show improvement?		
Are there statistically significantly less grade 3-4 toxicities impacting on daily well-being?*		
*This does not include alopecia, myelosuppression, but rather chronic nausea, diarrhoea, fatigue, etc.	Mark with √ if relevant	

Adjustments

- A When OS as secondary endpoint shows improvement, it will prevail and the new scoring will be done according to form 2a.
- B Downgrade 1 level if there is one or more of the above incremental toxicities associated with the new medicine.
- Downgrade 1 level if the medicine ONLY leads to improved PFS (mature data shows no OS advantage) and QoL assessment does not demonstrate improved QoL.
- Upgrade 1 level if improved QoL or if less grade 3-4 toxicities that bother patients are demonstrated.
- Upgrade 1 level if study had early crossover because of early stopping or crossover based on detection of survival advantage at interim analysis.
- Upgrade 1 level if there is a long-term plateau in the PFS curve, and there is >10% improvement in PFS at 2 years.

Final, toxicity and QoL adjusted, magnitude of clinical benefit grade

Highest magnitude clinic benefit grade that can be achieved grade 4.

EVALUATION FORM 2C

For therapies that are not likely to be curative with primary endpoint other than OS or PFS or equivalence studies

Name of study	•						
Study medicin	e:			Indication:			
First author:				Year:		Journal:	
Name of evalu	ator:						
Primary outco	ome is	Toxicity or Quali	ity of Life	e AND Non-in	feriority Stu	ıdies	
GRADE 4	Reduced toxicity or improved QoL (using a validated scale) with evidence for statistical non-inferiority or superiority in PFS/OS						
GRADE 3		rovement in some symptoms (using a validated scale) <u>BUT</u> without ence of improved overall QoL					
Primary outco	ome is	Response Rate					
GRADE 2	RR is	increased ≥20% but	t no improv	vement in toxic	ity/QoL/PFS/09	S	
GRADE 1	RR is	increased <20% but	t no improv	vement in toxic	ity/QoL/PFS/0	S	
							Mark with √ if releva
Final magni	itude (of clinical benefi	t grade		4	3	2 1

ESMO-MAGNITUDE OF CLINICAL BENEFIT SCALE V1.1

EVALUATION FORM 3

For single-arm studies in "orphan diseases" and for diseases with "high unmet need" when primary outcome is PFS or ORR

Name of stu	dy:				
Study medic	cine:	Indication:			
First author	;	Year:	Journal:		
Name of eva	aluator:				
GRADE 3	PFS ≥6 months				
	ORR (PR+CR) ≥60%				
	ORR (PR+CR) ≥20-<6	0% AND DoR ≥9 months			
GRADE 2	PFS ≥3-<6 months				
	ORR (PR+CR) ≥40-<6	ORR (PR+CR) ≥40-<60%			
	ORR (PR+CR) ≥20-<4	0% <u>AND</u> DoR ≥6-<9 months			
GRADE 1	PFS 2-<3 months				
	ORR(PR+CR) ≥20-<40	0% <u>AND</u> DoR <6 months			
	ORR (PR+CR) >10-<2	0% <u>AND</u> DoR ≥6 months			
			Mark with √ if relevant		
	nry magnitude of clinic grade scored)	cal benefit grade	3 2 1		

Quality of life/Grade 3-4 toxicities* assessment

Was QoL evaluated as secondary outcome?	
Does secondary endpoint QoL show improvement?	
Are there ≥30% grade 3-4 toxicities impacting on daily well-being?*	
*This does not include alonecia, myelosunpression, but rather chronic nausea, diarrhoea, fatique, etc.	Mark with √ if relevant

Adjustments

- A Downgrade 1 level if there are ≥30% grade 3-4 toxicities impacting on daily well-being*
- B Upgrade 1 level if improved QoL
- Upgrade 1 level for confirmatory, adequately sized, phase 4 experience

Final adjusted magnitude of clinical benefit grade	4	3	2	1





ESMO Scientific Affairs

c/o ESMO Head Office Via Ginevra 4, 6900 Lugano, Switzerland Tel. +41 (0)91 973 19 33 mcbs@esmo.org

For more information visit our website **www.esmo.org/Guidelines/ESMO-MCBS** or contact us at mcbs@esmo.org.